

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>G03F 7/00, G03H 1/02, C08G 59/30</b>	<b>A1</b>	(11) International Publication Number: <b>WO 97/13183</b> (43) International Publication Date: <b>10 April 1997 (10.04.97)</b>
(21) International Application Number: <b>PCT/US96/15600</b> (22) International Filing Date: <b>30 September 1996 (30.09.96)</b> (30) Priority Data: <b>08/540,125</b> <b>6 October 1995 (06.10.95)</b> <b>US</b> (71) Applicant: <b>POLAROID CORPORATION [US/US]; 549 Technology Square, Cambridge, MA 02139-3589 (US).</b> (72) Inventors: <b>DHAL, Pradeep, K.; Apartment #C6, 284 Great Road, Acton, MA 01720 (US). HORNER, Michael, G.; 70 Park Street, West Roxbury, Boston, MA 02132 (US). INGWALL, Richard, T.; 115 Dartmouth Street, Newton, MA 02165 (US). KOLB, Eric, S.; 3 Wayne Avenue, Ipswich, MA 01938 (US). MEHTA, Parag, G.; 7 Nathan's Way, Peabody, MA 01960 (US). MINNS, Richard, A.; 64 Claremont Avenue, Arlington, MA 02174 (US). SCHILD, Howard, G.; 96 Colborne Road, Brighton, MA 02135 (US). WALDMAN, David, A.; 11 Giaconda Avenue, Acton, MA 01720 (US).</b> (74) Agent: <b>COLE, David, John; Polaroid Corporation, 549 Technology Square, Cambridge, MA 02139-3589 (US).</b>		(81) Designated States: <b>CA, JP, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</b>  <b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
(54) Title: <b>HOLOGRAPHIC MEDIUM AND PROCESS</b> (57) Abstract <p>A holographic recording medium comprises an acid generator capable of producing an acid upon exposure to actinic radiation, a binder, and at least one monomer or oligomer capable of undergoing cationic polymerization initiated by the acid produced from the acid generator, thus producing a hologram without any need for free radical polymerization. This recording medium is not subject to the disadvantages (for example, oxygen sensitivity) associated with radical-polymerized prior art holographic recording media.</p>		

BEST AVAILABLE COPY

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic			SE	Sweden
CG	Congo	KR	Republic of Korea	SG	Singapore
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LR	Liberia	SZ	Swaziland
CS	Czechoslovakia	LT	Lithuania	TD	Chad
CZ	Czech Republic	LU	Luxembourg	TG	Togo
DE	Germany	LV	Latvia	TJ	Tajikistan
DK	Denmark	MC	Monaco	TT	Trinidad and Tobago
EE	Estonia	MD	Republic of Moldova	UA	Ukraine
ES	Spain	MG	Madagascar	UG	Uganda
FI	Finland	ML	Mali	US	United States of America
FR	France	MN	Mongolia	UZ	Uzbekistan
GA	Gabon	MR	Mauritania	VN	Viet Nam

## HOLOGRAPHIC MEDIUM AND PROCESS

This invention relates to a holographic medium and a process for the use thereof to form a hologram. More specifically, this invention relates to such a medium and process which use cationic polymerization.

5 In prior art processes for the formation of volume-phase holograms, interference fringes are formed within a holographic recording medium comprising a homogeneous mixture of a radical polymerizable monomer or oligomer and a polymeric binder. In the light areas of the fringes, the monomer or oligomer undergoes radical polymerization to form a polymer that has a refractive index  
10 different from that of the binder. Diffusion of the monomer or oligomer into the light areas, with consequent chemical segregation of binder from these areas and its concentration in the dark areas, produces spatial separation between the polymer formed from the monomer or oligomer and the binder, thereby providing the refractive index modulation needed to form the hologram. Typically, after the  
15 holographic exposure, a post-treatment step of blanket exposure of the medium to actinic radiation is required to complete the polymerization of the monomer or oligomer and fix the hologram.

A known dry-process medium for holographic recording (sold commercially by E.I. du Pont de Nemours, Inc., Wilmington DE) comprises a  
20 polymeric binder, a monomer capable of radical-initiated polymerization, and a photoinitiator (a term which is used herein to include polymerization initiators which are sensitive to radiation outside the visible range, for example ultra-violet radiation). Such a radical-polymerized medium suffers from a number of disadvantages, including severe inhibition of the radical polymerization by atmospheric oxygen,  
25 which requires precautions to exclude oxygen from the holographic medium. Also, radical polymerization often results in substantial shrinkage of the medium, with consequent distortion of the holographic image. Furthermore, radical polymerization often results in high intensity reciprocity failure, and it is difficult to record efficiently holograms having low spatial frequency components. Finally, the commercial du

Pont medium may require a lengthy thermal post-exposure treatment to fix the hologram.

One important potential use for volume holograms is in data storage; the three dimensional nature of a volume hologram, coupled with the high information density and parallel read/write capability which can be achieved, renders volume holograms very suitable for use in mass data storage; in theory, compact devices having storage capacities in the terabyte ( $10^{12}$  byte) range should readily be achievable. However, the aforementioned disadvantages of radical-polymerized holographic media, especially the lengthy thermal treatment, which are particularly serious when the media are to be used for data storage, have hitherto hindered the development of holographic data storage devices.

Holographic recording media using mixtures of cationic and radical polymerizable monomers are known. For example, US-A-5 453 340 describes a process using a holographic medium containing a cationic monomer, a radical monomer, a radical initiator which sensitizes the radical monomer to radiation of a first wavelength, and a cationic initiator which has low sensitivity to radiation of the first wavelength, but which sensitizes the cationic monomer to radiation of a second wavelength. In this process, the first step is a holographic exposure at the first wavelength using a laser or a light having excellent coherence to effect polymerization of the radical monomer only in the illuminated areas of the interference pattern. This is followed by a blanket, non-holographic exposure at the second wavelength to polymerize the cationic monomer. Thus, in this process, the holographic exposure uses free radical polymerization, and the sole function of the subsequent cationic polymerization is to increase the holographic efficiency of the resultant hologram. For this process to work, it is essential that the cationic initiator essentially not sensitize the cationic monomer to radiation of the first wavelength, since otherwise both free radical and cationic polymerization would occur during the first step of the process. In fact, this patent contains a Comparative Example in which the radical monomer is omitted; no detectable hologram is produced.

EP-A-487 086 describes a holographic medium comprising a radical-polymerizable monomer, a cationic-polymerizable monomer, a radical polymerization initiator and a cationic polymerization initiator. The medium is first given a holographic exposure, then a blanket exposure, and both exposures are necessary to form the final hologram. Normally, the holographic exposure causes free radical polymerization (as in all the Examples of the application), however, the application explicitly states that the cationic polymerization can be carried out first.

The present invention provides a holographic recording medium, and a process for its use, which relies solely upon cationic polymerization to form the hologram, without any need for radical polymerization. Thus, the present process avoids many of the problems associated with the use of radical polymerization, as discussed above.

Accordingly, this invention provides a process for preparing a hologram, which process comprises:

providing a holographic recording medium comprising an acid generator capable of producing an acid upon exposure to actinic radiation; a binder; and at least one monomer or oligomer capable of undergoing cationic polymerization initiated by the acid produced from the acid generator; and

passing into said medium a reference beam of coherent actinic radiation to which the acid generator is sensitive and an object beam of the same coherent actinic radiation, thereby forming within said medium an interference pattern

The present process is characterized in that, upon exposure to the interference pattern, the medium undergoes, in the illuminated areas of the interference pattern, release of acid from the acid generator, and cationic polymerization of the monomer or oligomer, thereby forming a hologram within the medium without the medium undergoing free radical polymerization.

This invention also provides a holographic recording medium comprising:

an acid generator capable of producing an acid upon exposure to actinic radiation;

5 a binder; and

at least one monomer or oligomer capable of undergoing cationic polymerization initiated by the acid produced from the acid generator.

The present medium is characterized by being essentially free from materials capable of undergoing free radical polymerization, and such that, upon  
10 exposure to an interference pattern formed by interference between two beams of the actinic radiation, the medium undergoes cationic polymerization of the monomer or oligomer without substantial free radical polymerization occurring in the medium, thereby forming regions differing in refractive index and producing a hologram.

In saying that the holographic medium of the present invention is  
15 essentially free from materials capable of undergoing free radical polymerization, we do not absolutely exclude the possibility that small amounts of unsaturated materials which are (at least in theory) capable of undergoing radical polymerization may be present in the medium. In some cases, it may be desirable to include such unsaturated materials for purposes unrelated to polymerization, for example as  
20 viscosity modifiers, stabilizers, bactericides etc. However, the present media form holograms without any need for radical polymerization, and there will thus normally be no reason to include any free radical initiators in the media.

The binder used in the present medium and process should of course be chosen such that it does not inhibit cationic polymerization of the monomer or  
25 oligomer used. Preferred binders for use in the present process are polysiloxanes and polyacrylates. Because of the wide variety of polysiloxanes available and the well-documented properties of these polymers, the physical, optical and chemical properties of the polysiloxane binder can all be adjusted for optimum performance in the recording medium.

The efficiency of the holograms produced by the present process is markedly dependent upon the particular binder employed. Although those skilled in the holographic art will have no difficulty in selecting an appropriate binder by routine empirical tests, in general it may be stated that poly(methyl phenyl siloxanes) have been found to give efficient holograms.

The acid generator used in the present recording medium produces an acid upon exposure to the actinic radiation. The term "acid generator" is used herein to refer to the component or components of the medium that are responsible for the radiation-induced formation of acid. Thus, the acid generator may comprise only a single compound that produces acid directly. Alternatively, the acid generator may comprise an acid generating component which generates acid and one or more sensitizers which render the acid generating component sensitive to a particular wavelength of actinic radiation, as discussed in more detail below. The acid produced from the acid generator may be either a Bronsted acid or a Lewis acid, provided of course that the acid is of a type and strength which will induce the cationic polymerization of the monomer. When the acid generator produces a Bronsted acid, this acid preferably has a  $pK_a$  less than about 0. Known superacid precursors such as diazonium, sulfonium, phosphonium and iodonium salts may be used in the present medium, but iodonium salts are generally preferred. Diaryliodonium salts have been found to perform well in the present media, with a specific preferred diphenyliodonium salt being (4-octyloxyphenyl)phenyliodonium hexafluoroantimonate. Among the Lewis acid generators, ferrocenium salts have been found to give good results in the present media, a specific preferred ferrocenium salt being cyclopentadienyl cumene iron(II) hexafluorophosphate, available commercially under the tradename Irgacure 261 from Ciba-Geigy Corporation, 7 Skyline Drive, Hawthorne NY 10532-2188, United States of America. This material has the advantage of being sensitive to 476 or 488 nm visible radiation without any sensitizer, and can be sensitized to other visible wavelengths as described below.

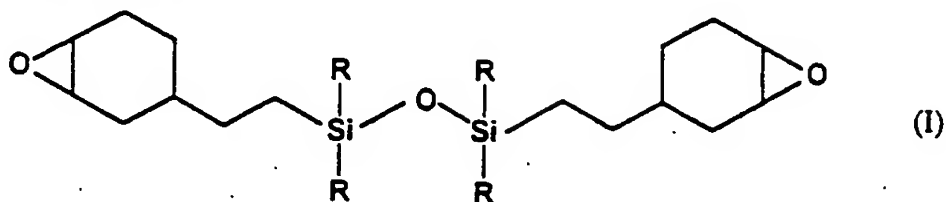
In the absence of any sensitizer, iodonium salts are typically only sensitive to radiation in the far ultra-violet region, below about 300 nm, and the use of far ultra-violet radiation is inconvenient for the production of holograms because for a given level of performance ultra-violet lasers are substantially more expensive than visible lasers. However, it is well known that, by the addition of various sensitizers, iodonium salts can be made sensitive to various wavelengths of actinic radiation to which the salts are not substantially sensitive in the absence of the sensitizer. In particular, iodonium salts can be sensitized to visible radiation with sensitizers using certain aromatic hydrocarbons substituted with at least two alkynyl groups, a specific preferred sensitizer of this type being 5,12-bis(phenylethynyl)naphthacene. This sensitizer renders iodonium salts sensitive to the 514 nm radiation from an argon ion laser, and to the 532 nm radiation from a frequency-doubled YAG laser, both of which are convenient sources for the production of holograms.

This preferred sensitizer also sensitizes ferrocenium salts to the same wavelengths, and has the advantage that it is photobleachable, so that the visible absorption of the holographic medium decreases during the exposure, thus helping to produce a low minimum optical density ( $D_{min}$ ) in the hologram. Preferred holograms produced by the process of the present invention have been found to have  $D_{min}$ 's as low as about 0.05 at the recording wavelength.

Any monomer capable of rapid cationic polymerization may be used in the present medium and process, provided of course that, in the unexposed medium, the monomer is compatible with the other components of the holographic medium, and a wide variety of such monomers are known to persons skilled in the polymer art. Preferred monomers for use in the present medium are those containing at least one epoxide or vinyl ether grouping, preferably a cyclohexene oxide grouping. A particularly preferred group of monomers are those in which one or more cyclohexene oxide groupings are linked to an Si-O-Si grouping; these monomers



have the advantage of being compatible with polysiloxane binders. Examples of such monomers include those of the formula:



where each R independently is an alkyl group containing not more than about 6 carbon atoms. The compound in which each group R is a methyl group is available from General Electric Company under the tradename General Electric Silicone 479-1893. Another preferred monomer for use in the present media is 1,2-epoxy-1,2,3,4-tetrahydronaphthalene.

The holographic efficiency of the holograms produced by the present process is strongly dependent upon the difference between the refractive indices of the two regions present in the hologram, namely the polymer-rich region derived from the polymerization of the monomer or oligomer and the binder-rich region. Provided that there is a difference in refractive index between the two regions, it does not matter which region has the greater refractive index, in so far as the diffractive efficiency of the hologram is concerned.

The proportions of acid generator, binder and monomer or oligomer in the holographic recording medium of the present invention may vary rather widely, and the optimum proportions for specific components and methods of use can readily be determined empirically by skilled workers. However, in general, it is preferred that the present medium comprise from 1 to 10 percent by weight of the acid generator, from 10 to 89 percent by weight of the binder and from 10 to 89 percent by weight of the monomer or oligomer.

In practice, complete polymerization of the monomer or oligomer is not achieved during the holographic exposure. In some cases, however, the microstructure produced during the holographic exposure is sufficiently stable for the hologram to be used without any post-exposure fixing steps. In other cases, it is

desirable, after the holographic exposure, to expose the whole of the holographic recording medium to radiation of a wavelength effective to cause further polymerization of the monomer, thereby producing a final hologram essentially free from monomer and hence more stable upon long-term storage than the hologram produced immediately following the holographic exposure. The wavelength of the radiation used in the second, "blanket" exposure need not be the same as that used for the holographic exposure; if, for example, the acid generator employed comprises an acid generating component inherently sensitive to ultra-violet radiation and a sensitizer which sensitizes the acid generating component to visible radiation and the holographic recording is effected with such visible radiation, the blanket exposure may be effected using the ultra-violet radiation to which the acid generating component is inherently sensitive.

The following Examples are now given, though by way of illustration only, to show details of particularly preferred reagents, conditions and techniques used in preferred media and processes of the present invention.

Example 1

A holographic recording medium was prepared comprising a 4:1 w/w mixture of the monomer of Formula I above in which each group R is methyl and a binder, namely a high temperature silicone oil (available from Aldrich Chemical Company, Milwaukee, Wisconsin 53233, United States of America as product #17,563-3; this material is stated by the manufacturer to be a copolymer of methyl methyl siloxane and phenyl methyl siloxane, and has a refractive index of 1.495). The monomer was first added to a sufficient amount of (4-octyloxyphenyl)phenyliodonium hexafluoroantimonate to make the content of the iodonium salt in the final recording medium 2.9 percent by weight. To the monomer/iodonium salt mixture was added the binder and then a sufficient amount of 5,12-bis(phenylethynyl)-naphthacene (dissolved in approximately 300  $\mu$ L of methylene chloride) to form a final recording medium containing 0.2% by weight of the naphthacene sensitizer.

Methylene chloride was removed from the medium, which had the form of a solution, by evaporating in a nitrogen-purged environment prior to exposure.

A sample of the medium was placed between two glass slides separated by a 100  $\mu\text{m}$  polytetrafluoroethylene spacer. Holographic imaging was carried out using 514.5 nm visible light from an argon ion laser. Unslanted diffraction gratings were recorded in a circular area with a 10 mm radius using an incident semiangle of  $25^\circ$  and equal power densities ( $\pm 2\%$ ) in both beam paths. After 60 seconds laser exposure, the medium was blanket exposed to 10 flashes from a Normark xenon strobe lamp.

To determine the holographic efficiency of the resulting diffraction grating, a probe beam from a 633 nm laser was passed through the medium at an angle of approximately  $30.5^\circ$  (which was calculated to be near the Bragg angle of the hologram) before, during and after the holographic exposure and the strobe exposure. The zeroth order and first order diffractions from the grating were measured, and the holographic efficiency determined. The holographic efficiency of the diffraction grating produced was found to about 5 percent, and the grating had low scatter.

#### Example 2

This Example illustrates a process of the present invention in which the hologram produced during the holographic exposure requires no post-treatment.

A holographic recording medium was prepared comprising a 3:1 w/w mixture of the same monomer (refractive index 1.4762) as in Example 1 above and a binder, namely poly(methyl phenyl siloxane), refractive index 1.5365, available from Dow Chemical Company, Midland, Michigan, United States of America, under the tradename Dow 710 silicone fluid. The monomer was first added to a sufficient amount of (4-octyloxyphenyl)phenyliodonium hexafluoroantimonate to make the content of the iodonium salt in the final recording medium 5% by weight. To the monomer/iodonium salt mixture was added the binder and then a sufficient amount of 5,12-bis(phenylethynyl)naphthacene (dissolved in approximately 300  $\mu\text{L}$  of

methylene chloride) to form a final recording medium containing 0.05% by weight of the naphthacene sensitizer. Methylene chloride was removed from the medium, which had the form of a solution, by purging the medium with argon prior to exposure.

5                   100  $\mu\text{m}$  films of this medium were formed in the same way as in Example 1 above, and these films were exposed to an interference pattern formed using 514.5 nm visible light from an argon ion laser, with the formation of the hologram being measured with a 633 nm probe beam from a helium neon laser in the same way as in Example 1. A 6 second exposure with the argon ion laser (at a power  
10                   density of  $9.33 \text{ mW/cm}^2$ ) showed a threshold energy (the minimum energy required at a given incident irradiance level for diffraction efficiency to be detected) of about  $19 \text{ mJ/cm}^2$ , while the peak in diffraction efficiency, about 75 percent, occurred 2.7 seconds after the end of the holographic exposure.

#### Example 3

15                   Example 1 was repeated, except that the polysiloxane binder used was prepared by hydrosilylation of polymethylhydrosiloxane using a 90:10 w/w mixture of 2-vinylnaphthalene and 2-vinyl(cyclohex-3-ene oxide), and that the monomer:binder ratio was 70:30. After the one minute laser exposure, the grating developed a holographic efficiency of 35 percent.

#### Example 4

20                   This Example illustrates a holographic recording medium comprising a high refractive index monomer and a low refractive index binder.

                  A holographic recording medium was prepared by mixing 1,2-epoxy-1,2,3,4-tetrahydronaphthalene (refractive index approximately 1.58, 0.2361 g) and  
25                   poly(butyl methacrylate) (refractive index 1.483, 0.0604 g) in a small vial, then heating to approximately  $55^\circ\text{C}$  with mechanical stirring until a homogeneous solution was obtained. This solution was cooled to room temperature and cyclopentadienyl cumene iron(II) hexafluorophosphate (Irgacure 261, 0.010 g) was added as an acid generator.

The polymerization characteristics of the resultant medium were determined using a differential scanning photocalorimetry, and the result demonstrated rapid polymerization of the monomer upon exposure to 436 nm visible radiation. Holographic exposure in the same manner as in Example 1 but using 488 nm visible light from an argon ion laser without strobe exposure and with two successive laser exposures for 30 and 10 seconds respectively (total exposure 400 mJ/cm<sup>2</sup>) gave a hologram with approximately 2 percent efficiency.

#### Example 5

A holographic recording medium was formulated by mixing 46.8 parts by weight of a monomer, tetrahydronaphthalene oxide, 45.0 parts by weight of a binder, poly(acryloxypropyl)methyl siloxane (refractive index 1.463, available from Petrarch Systems, Inc., Bristol, PA 19007, as product #PS901.5), 3.55 parts by weight of cumene hydroperoxide (available from Atochem, Buffalo, NY) 2.75 parts by weight of the ultraviolet curing agent Darocur (Registered Trade Mark) 1173 (available from Ciba-Geigy Corporation, Ardsley, NY 10502) and 1.86 parts by weight of Irgacure 261. The aforementioned components were added, in the order listed, to an amber glass bottle, and the resultant mixture was stirred with a magnetic stir bar until dissolution was observed and a homogeneous mixture was obtained.

A sample of the medium thus prepared was placed between two glass slides separated by a 50  $\mu$ m polytetrafluoroethylene spacer. Holographic imaging was carried out by exposing the film to an interference pattern using 476 nm visible light from an argon ion laser at an incident power density of 1.40 mW/cm<sup>2</sup> for a period of 8 minutes. Unslanted diffraction gratings were recorded in a circular area using an incident semiangle of 10° and equal power densities in both beam profiles.

Formation of the hologram was monitored and measured by passing a 632.8 nm probe beam from a helium neon laser through the sample medium before, during and after the holographic exposure. First order diffraction was observed after about 10 seconds of exposure. The intensity of first order diffraction, detected by the probe beam, attained a plateau value of about 114  $\mu$ W, which corresponded to a diffraction

efficiency of 21 percent; the actual diffraction efficiency must have been greater since the read angle for reconstruction was not optimized. After the holographic exposure, the sample was fixed by exposing it to ultra-violet radiation. After this fixing, the angle-optimized diffraction efficiency was measured as about 52 percent.

5     Example 6

          This Example illustrates the use of the present invention to form a reflection hologram produced with very little shrinkage of the holographic medium.

          The preparation of the holographic medium described in Example 2 above was repeated except that the amounts of iodonium salt and naphthacene  
10     sensitizer were adjusted so that the final imaging medium contained 1 percent by weight of the iodonium salt and 0.11 percent by weight of the sensitizer.

          A reflection hologram was formed from the resultant medium by imaging at normal incidence with 514.5 nm light from an argon ion laser, using a flying spot 0.4 cm in diameter at a scan velocity of 0.167 cm/sec and a total exposure  
15     energy of 320 mJ/cm<sup>2</sup>. The reference beam was formed by using an aluminum mirror substrate. The resultant optical density, measured in absorbance units using a Perkin-Elmer Lambda 9 spectrophotometer at the wavelength for the Bragg condition at normal incidence, was 0.236, corresponding to an index modulation of about 0.001, and the full width at half height was 3.5 nm. The ratio of the lambda maximum at  
20     509.9 nm to the imaging wavelength was 0.991, which indicates that the amount of shrinkage occurring during hologram formation was less than 1 percent.

\*\*\*\*\*

          From the foregoing, it will be seen that the present invention provides a holographic medium and process that is capable of providing holograms having  
25     high efficiency and good physical properties, and which is not susceptible to many of the problems associated with prior art holographic media and processes using radical polymerization.

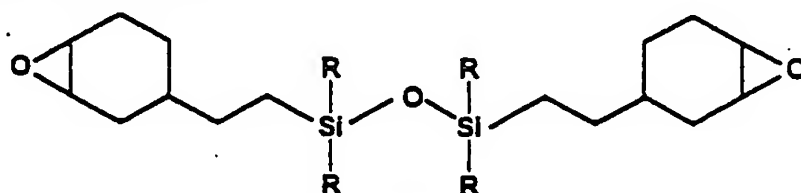
### CLAIMS

1. A process for preparing a hologram, which process comprises:  
providing a holographic recording medium comprising an acid generator capable of producing an acid upon exposure to actinic radiation; a binder; and at least one monomer or oligomer capable of undergoing cationic polymerization  
5 initiated by the acid produced from the acid generator; and  
passing into said medium a reference beam of coherent actinic radiation to which the acid generator is sensitive and an object beam of the same coherent actinic radiation, thereby forming within said medium an interference pattern  
characterized in that upon exposure to the interference pattern, the  
10 medium undergoes, in the illuminated areas of the interference pattern, release of acid from the acid generator, and cationic polymerization of the monomer or oligomer, thereby forming a hologram within the medium without the medium undergoing free radical polymerization.
2. A process according to claim 1 characterized in that the medium is essentially free from materials capable of undergoing free radical polymerization.
3. A process according to claim 1 or 2 characterized in that the binder is a siloxane polymer or oligomer, or an acrylate.
4. A process according to according to any one of the preceding claims characterized in that the acid generator is capable of producing an acid having a pK<sub>a</sub> less than about 0, or is capable of generating a Lewis acid.
5. A process according to claim 4 characterized in that the acid generator comprises a diaryliodonium salt or a ferrocenium salt.
6. A process according to any one of the preceding claims characterized in that the acid generator comprises an acid generating component and a sensitizer which renders the acid generating component sensitive to actinic radiation of a wavelength to which the acid generating component is not substantially sensitive  
5 in the absence of the sensitizer.

7. A process according to claim 6 characterized in that the sensitizer comprises an aromatic hydrocarbon substituted with at least two alkynyl groups.

8. A process according to any one of the preceding claims characterized in that the monomer or oligomer has at least one epoxide or vinyl ether group.

9. A process according to claim 8 characterized in that the monomer is of the formula:



where each R independently is an alkyl group containing not more than about 6 carbon atoms,  
or is 1,2-epoxy-1,2,3,4-tetrahydronaphthalene.

10. A process according to any one of the preceding claims characterized in that, after the holographic exposure, the whole of the holographic recording medium is exposed to radiation of a wavelength effective to cause further polymerization of the monomer or oligomer.

11. A holographic recording medium comprising:  
an acid generator capable of producing an acid upon exposure to actinic radiation;

a binder, and

at least one monomer or oligomer capable of undergoing cationic polymerization initiated by the acid produced from the acid generator,

the medium being characterized by being essentially free from materials capable of undergoing free radical polymerization, and such that, upon exposure to an interference pattern formed by interference between two beams of the actinic radiation, the medium undergoes cationic polymerization of the monomer or



oligomer without substantial free radical polymerization occurring in the medium, thereby forming regions differing in refractive index and producing a hologram.

# INTERNATIONAL SEARCH REPORT

International Application No.  
PCT/US 96/15600

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 G03F7/00 G03H1/02 C08G59/30

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 G03F G03H C08G

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 449 027 A (GEN ELECTRIC) 2 October 1991	1-11
Y	see page 2, line 28 - line 30; claims; examples	1-11
Y	--- JOURNAL OF POLYMER SCIENCE, POLYMER CHEMISTRY EDITION, vol. 32, no. 4, 1 March 1994, pages 683-697, XP000424273 CRIVELLO J V ET AL: "THE SYNTHESIS AND CATIONIC POLYMERIZATION OF MULTIFUNCTIONAL SILICON-CONTAINING EPOXY MONOMERS AND OLIGOMERS" see the whole document --- -/-	1-11

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

\*A\* document member of the same patent family

Date of the actual completion of the international search

24 January 1997

Date of mailing of the international search report

10.03.97

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Rasschaert, A

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 96/15600

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>DATABASE WPI  Section Ch, Week 9516  Derwent Publications Ltd., London, GB;  Class A89, AN 95-119553  XP002023715  &amp; JP 07 043 901 A (OJI PAPER CO) , 14  February 1995  see abstract</p> <p>---</p>	1
X	<p>POLYMERS FOR ADVANCED TECHNOLOGIES,  vol. 5, no. 2, 1 February 1994,  pages 90-97, XP000429306  HOTTA T ET AL: "HOLOGRAPHIC RECORDING  USING VISIBLE-LIGHT SENSITIVE POLYMERS  BASED ON CATIONIC POLYCONDENSATION"  see the whole document</p> <p>---</p>	1
A	<p>US 4 310 469 A (CRIVELLO JAMES V) 12  January 1982  see the whole document</p> <p>---</p>	1
A	<p>US 5 086 192 A (KESSEL CARL R ET AL) 4  February 1992  see the whole document</p> <p>---</p>	1
A	<p>CHEMICAL ABSTRACTS, vol. 80, no. 2,  14 January 1974  Columbus, Ohio, US;  abstract no. 9026x,  SCHLESINGER: "Epoxy photopolymers in  photoimaging and photofabrication"  page 9029;  XP002023714  &amp; TECH. PAP., REG. TECH. CONF., DOC.  PLASR. ENG., MID-HUDSON SECT.,  1973,  pages 85-91,</p> <p>-----</p>	1

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 96/15600

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP-A-0449027	02-10-91	US-A- 5057358 CA-A- 2034462 JP-A- 4306222 JP-B- 8032762	15-10-91 24-09-91 29-10-92 29-03-96
US-A-4310469	12-01-82	AU-B- 527601 AU-A- 5411879 BE-A- 880959 FR-A- 2445355 JP-A- 55105627 NL-A- 7909257	10-03-83 03-07-80 30-06-80 25-07-80 13-08-80 01-07-80
US-A-5086192	04-02-92	NONE	

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**